

from each culture were also saved. Extracts prepared from these cells were assayed for DAHP synthase activity as described above.

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Registry No. DAHP synthase, 9026-94-2; D-glucose, 50-99-7; transketolase, 9014-48-6.

Synthesis and Polymerization of *N-Z-L-Serine-β*-lactone and Serine Hydroxybenzotriazole Active Esters

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Abstract: The reaction of *Z-L-serine* **1a** with 1-hydroxybenzotriazole (HOBt) in the presence of *N,N'*-dicyclohexylcarbodiimide (DCC) yielded oligomeric poly(*N-Z-serine ester*) **4a** and not *Z-L-serine-β*-lactone (**2**, R = Z), as described in a widely cited report by König and Geiger. After modifying the reaction conditions, the elusive HOBt active ester of *Z-L-serine* (**3**, R = Z) was obtained in 90% yield. Bulk polymerization of **3** gave poly(*Z-L-serine ester*) **4a** with a weight average molecular weight (M_w) of about 22 000 da. Reaction of *N*-protected serine derivatives **1b-d** with HOBt and DCC afforded poly(*serine esters*) **4b-d** directly, typically with M_w in excess of 20 000 da. In contrast, *N-Z-threonine* **5** failed to yield poly(*N-Z-threonine ester*). The optical purity of *L-serine* was preserved during the synthesis of the HOBt active ester and the subsequent polymerization. The approach described here represents the first, convenient multigram synthesis of serine derived polyesters, new polymers with potential biomedical applications.

Introduction

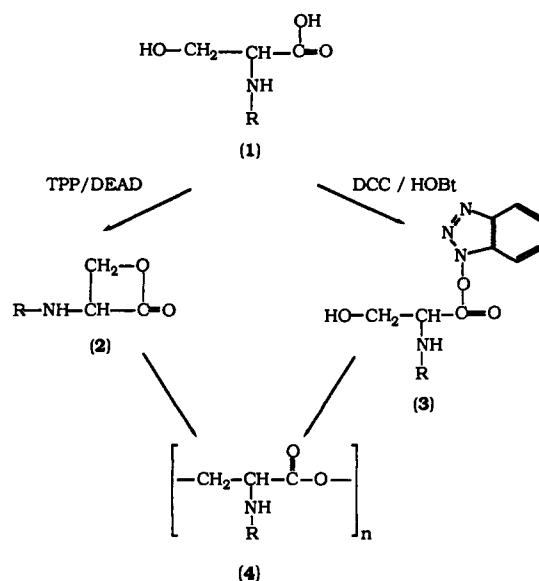
Serine- β -lactones **2** (Scheme I) are important intermediates in amino acid synthesis and polymer chemistry: Among other transformations, Vederas et al.^{1,2} recently reported the synthesis of *N*-protected β -substituted alanines via **2**, while Zhou and Kohn³ as well as Spassky et al.⁴ described the ring-opening polymerization of **2** leading to poly(*N*-protected serine esters) **4**. Polyesters **4** belong to a new group of polymers defined as "pseudo" poly(amino acids)⁵ which are currently being investigated as biodegradable implant materials in a variety of medical applications.⁶

Lactones **2**, however, are not readily available. Several methods giving **2** have been reported but are laborious, give low yields, or are limited to specific protecting groups. For example, Sheehan et al.⁷ described the cyclization of *N*-trityl-*L-serine* (**1**, R = trityl) using *N,N'*-diisopropylcarbodiimide. The corresponding lactone was obtained with 15% yield. This method was later modified by employing (dimethylamino)pyridine as a catalyst and *N,N'*-diisopropylcarbodiimide⁸ or *N,N'*-dicyclohexylcarbodiimide⁴ as the carboxyl activation agents. The reported yields, however, were not significantly higher than the yields obtained by Sheehan's approach. An alternate method via Hofmann rearrangement of *N*-(benzenesulfonyl)asparagine^{9,10} gave higher yields (45%) but appears to be restricted to the use of the benzenesulfonyl protecting group.

So far, only two procedures have been reported that provide *N*-protected serine- β -lactones with yields in excess of 50%. Most noteworthy is the synthesis published in 1970 by König and Geiger,¹¹ furnishing **2** (R = Z) in 91% yield in a simple, one-step reaction of **1a** with *N,N'*-dicyclohexylcarbodiimide (DCC) and 1-hydroxybenzotriazole (HOBt). Unfortunately, König and Geiger provided only melting point and elemental analysis as the sole identifying properties for their reaction product. This synthesis was disclosed in their pioneering paper on the use of HOBt in peptide synthesis. Today this paper is frequently cited and has been included in major textbooks on peptide synthesis.^{12,13}

More recently, Vederas et al.^{1,2} reported the successful synthesis of **2** (R = Z, Boc) by means of a modified Mitsunobu¹⁴ reaction

Scheme I



1, 4	R
a	benzyloxycarbonyl (Z)
b	p-methoxybenzyloxycarbonyl (Moz)
c	p-nitrobenzyloxycarbonyl
d	tert-butoxycarbonyl (Boc)

in yields of up to 81%. Vederas et al. published a detailed structural analysis of their products. Furthermore, in a footnote

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Table I. Comparison of König and Geiger's Reaction Product with Authentic Z-Serine- β -lactone and Authentic Poly(Z-serine ester)

property	authentic lactone ¹ 2 (R = Z)	König's product ¹¹	authentic polyester 4a ³
elemental anal. (found: C,H,N)	59.60, 5.10, 6.21	59.71, 5.01, 6.33	59.44, 5.16, 6.21
melting point (°C)	133–134 (EtOAc/hexane)	177–179 (isopropanol)	>250, dec
IR spectra data (THF cast/cm ⁻¹) (KBr pellet/cm ⁻¹)	1845 (lactone C=O) n/a	1751 (ester C=O) 1724 (ester C=O)	1751 (ester C=O) 1724 (ester C=O)
¹ H NMR spectral data (ppm)	5.57 (NH) 5.04 (CH-CH ₂) 4.42 (CH-CH ₂)	7.82 (NH) 4.55–4.15 (CH-CH ₂)	7.84 (NH) 4.46–4.15 (CH-CH ₂)
wt av molecular wt GPC (Da)	<400	3000	29000

they indicated that in their hands the procedure of König and Geiger did not furnish lactones without further elaborating on the nature of König and Geiger's product.¹

In view of this discrepancy we reviewed the studies of König and Geiger.¹¹ Our investigation was not only prompted by the need to clarify the literature^{12,13} but also by the consideration that König and Geiger's procedure¹¹ would represent a much more convenient synthesis of **2** than the reaction described by Vederas et al.^{1,2}

Results and Discussion

We started our investigation¹⁵ by repeating the reaction of Z-L-serine **1a** with DCC in the presence of HOBt, as described by König and Geiger.¹¹ We also reacted **1a** with a preformed adduct of triphenylphosphine (TPP) and diethyl diazodicarboxylate (DEAD), as described by Vederas et al.^{1,2} Although reportedly both pathways lead to **2** (R = Z), it became immediately evident that the two products were not identical. The product obtained from the reaction of **1a** with TPP/DEAD (Vederas' procedure) could be readily identified as authentic β -lactone based on its IR and ¹H NMR spectra, whereas the product obtained by König and Geiger's procedure had a virtually identical elemental composition but distinctively different spectral and physical properties (Table I).

Our reinvestigation of the König and Geiger reaction¹¹ yielded a product with a melting point of 175–178 °C which is close to that reported by König and Geiger (177–179 °C). We hence concluded that we indeed obtained the same material these authors had erroneously regarded as β -lactone **2**. While lactones commonly exhibit a strong ester carbonyl vibration around 1845 cm⁻¹, the product we obtained via König and Geiger's procedure showed a strong absorption at 1751 cm⁻¹ (THF cast) which we assigned to the carbonyl vibration of an unstrained, linear ester linkage (Table I). Next, we analyzed a sample of the König and Geiger product by gel-permeation chromatography (GPC). The chromatogram showed a peak corresponding to a weight average molecular weight of about 3000 Da (relative to polystyrene standards). This finding together with the spectral properties of the product indicated that König and Geiger had inadvertently prepared a low molecular weight oligomer of structure **4a**. Apparently, König and Geiger had overlooked the fact that lactone

2 (R = Z) and polymer **4a** have the same elemental composition (see Table I). Thus, in the absence of further structural verification (e.g., by IR spectroscopy), elemental analysis is not sufficient to distinguish between the structures of **2** and **4**.

The above findings were in accord with the earlier observation by Vederas et al.¹ that König and Geiger's procedure¹¹ is unsuitable for the synthesis of serine β -lactones. Like Vederas, we were unable to detect the formation of lactones upon carefully monitoring the reaction by IR or TLC. However, the sporadic occurrence of an IR absorption at 1827 cm⁻¹ (THF cast) in the crude reaction product pointed to the formation of the HOBt active ester of L-serine as the reactive intermediate in König and Geiger's procedure. Thus we speculated that König and Geiger's original procedure could potentially be modified to yield two important products: the elusive HOBt active esters **3** (which had so far not been prepared in pure form) and high molecular weight polyesters **4**.

Since a recent paper¹⁶ hinted at the possible self-condensation of active ester **3** in boiling THF, we speculated that the oligomerization of **3** may have occurred in the final purification step in which König and Geiger recrystallized their crude material from boiling isopropanol. We therefore avoided elevated temperatures in the work up of the crude reaction mixture by evaporating the reaction mixture in vacuo at a bath temperature of less than 25 °C. The crude material was purified by repeated washings with cold isopropyl alcohol, and the recrystallization from boiling isopropyl alcohol was omitted.

The FT-IR spectrum of the product obtained in this way showed a strong band at 1827 cm⁻¹ (THF cast). This absorption was in agreement with the carbonyl vibration generally observed for HOBt active esters.^{11,17,18} Moreover, in the ¹H NMR spectrum (acetone-*d*₆), a multiplet between 7.2 and 8.1 ppm (9 H) clearly indicated the presence of the HOBt moiety in the reaction product. Since free HOBt displayed a multiplet at 7.4–7.9 ppm and could be easily distinguished from covalently bound HOBt, the material obtained by our modified procedure must be the active ester **3** (R = Z).

The purity of **3** (R = Z) was determined by reaction with a known excess of benzylamine, followed by back-titration of unreacted benzylamine with a dioxane solution of HClO₄. Calculation of the amount of consumed benzylamine showed that the products furnished by our procedure had an active ester content of about 85–91%.

The thermogram of **3** obtained by differential scanning calorimetry (DSC) showed three peaks: The first peak was identified as an initial melting point (onset: 99 °C), followed by a second, sharp exotherm with a maximum at 109 °C. This peak indicated the occurrence of an exothermic reaction subsequent to melting,

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(16) A recent report described the self-condensation of active ester **3** in boiling THF without providing any details on **3** or the self-condensation products obtained: Iwagami, H.; Yasuda, N. *Heterocycles* **1990**, *31*, 529–536.

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of Weygand and Hunger.²³ *N*-(((*p*-Nitrobenzyl)oxy)carbonyl)-L-serine was prepared using a modification of the method of Weygand and Hunger.²⁴ 1-Hydroxybenzotriazole hydrate (Aldrich) was dried prior to use over P₂O₅ at 5×10^{-2} mmHg, 50 °C for 24 h. Thermogravimetric analysis was used to confirm that all water of crystallization had been removed during drying. Tetrahydrofuran (THF) was obtained from Fisher, Springfield, NJ. THF was dried by distillation under Ar from metallic sodium and benzophenone. All other solvents were of HPLC grade and were used as received.

All glassware was oven dried overnight at 145–155 °C. Typically, it was then transferred to a glovebox where it was cooled and charged with the material, as indicated, under a N₂ atmosphere.

Analysis. NMR spectra were recorded on a VXR 200 MHz spectrometer (Varian). IR spectra were obtained on a Mattson Cygnus 100 FT-IR spectrophotometer. For differential scanning calorimetry a 910 calorimeter (DuPont) was used. Thermogravimetric analysis was performed on a 951 analyzer (DuPont). Gel permeation chromatography (GPC) was performed using a chromatographic system consisting of a Perkin-Elmer Series 410 LC pump, a Waters Model 410 RI detector, and a Perkin-Elmer DEC 3000 data station. Chromatograms were obtained in DMF containing 0.1% (w/v) of LiBr, at a flow rate of 1 mL/min on two PL-gel columns (300 mm \times 7.7 mm id, 5 μ m particle size, 1000 and 100 Å pore size, respectively) connected in series.

N-Z-L-Serine 1-Hydroxybenzotriazole Ester, 3. Z-L-Serine (2.4 g, 10 mmol) and HOBt (1.5 g, 11 mmol) were dissolved in THF (20 mL). The mixture was cooled to -10 °C when a solution of *N,N'*-dicyclohexylcarbodiimide (2.2 g, 11 mmol) in THF (10 mL) was added. Stirring was continued at 0 °C for 1 h and then at room temperature for another hour. The urea precipitate was removed by filtration, and the filtrate evaporated to dryness in vacuo. Throughout the concentration step the bath temperature was not allowed to exceed 25 °C. After washing twice with cold isopropyl alcohol, 3.3 g (92% yield) of ester 3 was collected as a colorless material by filtration: mp 97–100 °C, remelts at 115–117 °C, dec >250 °C; IR (THF cast) 3500–3250, 2950, 1827, 1715, 1537, 1430, 1260, 1029 cm⁻¹; ¹H NMR (acetone-*d*₆) δ 8.08–7.31 (m, 9 H, 1 \times C₆H₅ + 1 \times C₆H₄N₃), 5.18 (s, 2 H, CH₂C₆H₅), 4.92 (m, 1 H, CHCH₂), 4.35–4.10 (m, 2 H, CHCH₂).

(23) Weygand, F.; Hunger, K. *Chem. Ber.* 1962, 95, 1–6. *N*-(((*p*-Methoxybenzyl)oxy)carbonyl)-L-serine 1b: mp 96–98 °C; IR (KBr) 3368, 2945, 2360, 1690, 1623, 1515, 1246, 1182, 1075, 1032 cm⁻¹; ¹H NMR (DMSO-*d*₆) δ 12.30 (s, 1 H, OH), 7.30–6.87 (dd, 4 H, CH₂C₆H₄OCH₃), 7.18 (d, 1 H, NH), 4.93 (s, 2 H, CH₂C₆H₄OCH₃), 4.04–3.98 (m, 1 H, CHCH₂), 3.72 (s, 3 H, OCH₃), 3.63 (d, CHCH₂); ¹³C NMR (DMSO-*d*₆) δ 168.7, 158.9, 156.0, 129.9, 129.7, 128.3, 113.6, 65.7, 63.7, 54.9, 52.7. Anal. Calcd for C₁₂H₁₅NO₆: C, 53.58; H, 5.62; N, 5.20. Found: C, 53.47; H, 5.48; N, 5.16.

(24) *N*-(((*p*-Nitrobenzyl)oxy)carbonyl)-L-serine 1c: L-Serine (2.1 g, 20 mmol) and MgO (2.4 g, 60 mmol) were suspended in H₂O (40 mL). With vigorous stirring, a solution of (*p*-nitrobenzyl)chloroformate (4.7 g, 22 mmol) in dioxane (50 mL) was added dropwise within 50 min (temperature control: 5 °C). Subsequently, the reaction mixture was kept with further stirring at room temperature for 72 h. After removing MgO by filtration, the filtrate was extracted with ether. Then ethyl acetate (75 mL) was added, followed by ion-exchange resin Amberlite IR-120 (plus) (40 g). This mixture was kept with stirring for 2 h. The ion-exchange resin was removed followed by separating the organic from the aqueous phase. After washing the latter with ethyl acetate (1 \times 50, 1 \times 30 mL), the combined ethyl acetate fractions were first dried and then evaporated to dryness in vacuo. A yellow oil resulted which was triturated with hexane to give crude 1c as white crystals (4.7 g, 83%). Crude 1d gave analytically pure material after recrystallization from ethyl acetate/hexane (70/30%, v/v): mp 102–104 °C; IR (KBr) 3366, 2985, 2362, 2342, 1701, 1521, 1347, 1230, 1067 cm⁻¹; ¹H NMR (DMSO-*d*₆) δ 12.60 (s, 1 H, OH), 8.23–7.58 (dd, 4 H, CH₂C₆H₄NO₂), 7.50 (d, 1 H, NH), 5.17 (s, 2 H, CH₂C₆H₄NO₂), 4.06–4.02 (m, 1 H, CHCH₂), 3.67 (d, 2 H, CHCH₂); ¹³C NMR (DMSO-*d*₆) δ 172.0, 155.7, 146.8, 144.9, 128.0, 127.7, 123.4, 64.2, 61.2, 56.6. Anal. Calcd for C₁₁H₁₂N₂O₇: C, 46.48; H, 4.26; N, 9.85. Found: C, 46.08; H, 4.22; N, 9.65.

Poly(*N*-Z-L-serine ester) 4a. Freshly prepared active ester 3 (200 mg) was thoroughly dried in vacuo and then transferred to a 10-mL Wheaton ampule. The ampule was repeatedly flushed with dry nitrogen and then sealed under a pressure of 5×10^{-3} mmHg. It was immersed into an oil bath for 5 h at 105 °C. The reaction product was first washed with THF, then dissolved in DMF, and reprecipitated with methanol to give 81 mg (41% recovery) of polyester 4a: IR (THF cast) 3337, 3068, 2950, 1751, 1715, 1529, 1431, 1392, 1315, 1264, 1245, 1062 cm⁻¹; ¹H NMR (DMSO-*d*₆) δ 7.82 (d, 1 H, NH), 7.28 (s, 5 H, C₆H₅), 4.99 (s, 2 H, CH₂C₆H₅), 4.55–4.15 (m, 3 H, CHCH₂); ¹³C NMR (DMSO-*d*₆) δ 168.7, 156.0, 136.5, 128.3, 127.7, 65.8, 63.8, 52.8; molecular weight M_w = 22 200 da; M_n = 17 500 da; DP = 72–73. Anal. Calcd for C₁₁H₁₁NO₄: C, 59.73; H, 5.01; N, 6.33. Found: C, 59.49; H, 5.13; N, 6.23.

Preparation of Poly(*serine esters*) 4b–d (General Procedure). A 100-mL, three-necked, round-bottomed flask was charged with 10 mmol of *N*-protected-L-serine 1b–d and 11 mmol of HOBt. Under a nitrogen atmosphere THF was added with stirring until a clear solution formed. The mixture was then cooled to -10 °C followed by dropwise addition of a solution of 11 mmol of DCC in THF (10 mL); the temperature was not allowed to exceed -10 °C. Stirring was continued at 0 °C for 1 h and then 1 h at room temperature. The precipitate of DCU was collected by filtration. The filtrate was evaporated to dryness in vacuo, yielding a foamy residue (crude product).

Poly(*N*-Moz-L-serine ester), 4b. The crude product was stored in a freezer under isopropyl alcohol giving 4b as a white solid. Reprecipitation from DMF/methanol gave an analytically pure sample (42% recovery): mp 149.5–152; IR (THF cast) 3329, 2961, 2942, 2359, 2341, 1757, 1718, 1627, 1514, 1246, 1048, 1032 cm⁻¹; ¹H NMR (DMSO-*d*₆) δ 7.75 (d, 1 H, NH), 7.24–6.83 (dd, 4 H, CH₂C₆H₄OCH₃), 4.91 (s, 2 H, CH₂C₆H₄OCH₃), 4.43–4.28 (br m, 3 H, CHCH₂), 3.68 (s, 3 H, CH₂C₆H₄OCH₃); ¹³C NMR (DMSO-*d*₆) δ 168.7, 159.0, 156.0, 129.9, 129.7, 128.3, 113.6, 65.67, 63.8, 54.9, 52.8; molecular weight M_w = 23 000 da; M_n = 20 500 da; DP = 81–82. Anal. Calcd for C₁₂H₁₃NO₅: C, 57.37; H, 5.21; N, 5.58. Found: C, 57.12; H, 5.24; N, 5.77.

Poly(*N*-(((*p*-nitrobenzyl)oxy)carbonyl)-L-serine ester), 4c. The crude product was stored in a freezer under isopropyl alcohol giving 4c as a sand-colored solid. After reprecipitation from DMF/methanol, the analytically pure polymer was collected by centrifugation (41% recovery): mp 151–153 °C; IR (THF cast) 3339, 2957, 2876, 2360, 2290, 1752, 1727, 1607, 1518, 1380, 1190, 1030; ¹H NMR (DMSO-*d*₆) δ 8.12 (br s, 2 H, CH₂C₆H₄NO₂), 7.98 (d, 1 H, NH), 7.51 (br s, 2 H, CH₂C₆H₄NO₂), 5.14 (s, 2 H, CH₂C₆H₄NO₂), 4.49–4.35 (br m, 3 H, CHCH₂); ¹³C NMR (DMSO-*d*₆) δ 168.7, 155.7, 146.8, 144.4, 127.9, 123.4, 64.5, 63.7, 52.8; molecular weight M_w = 21 200 da; M_n = 16 400 da; DP = 61–62. Anal. Calcd for C₁₁H₁₀N₂O₆: C, 49.63; H, 3.79; N, 10.25. Found: C, 49.65; H, 3.89; N, 10.46.

Poly(*N*-Boc-L-serine ester), 4d. The crude product was extensively triturated with hexane, giving 4d as an off-white, slightly waxy solid (85%). This material was virtually insoluble in a variety of organic solvents; it was somewhat soluble in DMF or DMSO.²¹ Hence the crude was analyzed without further purification: mp 170–174 (dec); IR (THF cast) 3363, 2772, 2929, 1740, 1710, 1522, 1344, 1157, 1052; ¹H NMR (DMSO-*d*₆) δ 7.97 (d, 1 H, NH), 4.32–4.19 (m, 3 H, CHCH₂), 1.38 (s, 9 H, C(CH₃)₃); ¹³C NMR (DMSO-*d*₆) δ 168.9, 155.2, 78.6, 63.7, 52.4, 27.9; molecular weight of the soluble fraction M_w = 8800 da; M_n = 5900 da; DP = 31–32.

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